



October 2020

To update, change, add, or delete your financial institution's 314(a) point of contact information, you must contact your primary federal supervisory agency.

Please find **your institution's primary federal regulator** or self-regulatory organization in the below list and forward the above information to them.

Board of Governors of the Federal Reserve System

All changes must be made through the quarterly Call Report or by filing an amended Call Report ([FFIEC031](#), [FFIEC002](#), or [FR2886b](#)).

Questions: patriotact@frb.gov

Commodity Futures Trading Commission

Contact information:

E-mail: AMLstaff@cftc.gov

Fax: (202) 418-5528

Questions: Helene D. Schroeder, Special Counsel, Division of Swap Dealer and Intermediary Oversight at (202) 418-5424

Federal Deposit Insurance Corporation

Contact information:

All changes must be made by the bank through the quarterly Call Report or by filing an amended Call Report. Point of contact changes made through a Call Report amendment will generally be effective within two weeks. Changes made through the regular quarterly Call Report will be effective approximately six weeks after the report date due to the permissible filing timeline for banks, which in some cases is up to 35 days (e.g., 12/31/xx changes will be effective in mid-February).

Questions: Anti-Money Laundering Section at (202) 898-3673

E-mail: aml@fdic.gov

Financial Industry Regulatory Authority

Contact information:

For changing POCs or adding a new contact, a FINRA member must update its Anti-Money Laundering Compliance Contacts through the FINRA Contact System at the link below. Each Anti-Money Laundering Compliance Contact (Primary and Alternate) will be added to the FinCEN distribution list.

<https://firms.finra.org/fcs>

Questions: Gateway Call Center at (301) 869-6699

National Credit Union Administration

Contact information:

All changes must be made in the online credit union profile in NCUA's CU Online system by updating the Patriot Act contact on the Contacts Tab. Credit unions can access CU Online at <http://www.ncua.gov/DataApps/CUOnline/Pages/default.aspx>.

Questions - Federal Credit Unions:

Contact your NCUA district examiner. If you cannot locate your examiner, contact the NCUA regional office that oversees your state. NCUA regional office contact information is posted on the NCUA Website <http://www.ncua.gov/>. From the NCUA home page, select *Contact Us* and scroll to the bottom of the page. Email and telephone contact information is shown for each region. A direct link follows: <http://www.ncua.gov/about/pages/Contact.aspx>. If you are unsure which region supervises your state, click on the region's name and a list of supervised states will be shown.

Questions - State Chartered Non-Federally Insured Credit Unions: Contact your state regulator.

National Futures Association:

Contact Information:

E-mail: AML@nfa.futures.org

Fax: (312)559-3419

Questions: Valerie O'Malley, Compliance Department at (312)781-1290

Office of the Comptroller of the Currency

All changes must be made through the quarterly Call Report or by filing an amended Call Report.

Fax: (301) 333-7038

Additional Information: OCC Alert 2004-6

<http://www.occ.gov/static/news-issuances/alerts/2004/alert-2004-6.pdf>

Questions: James F. Vivenzio, Senior Counsel for BSA/AML at (202) 649-5400.